Ring-Opening Reactions of the Anticancer Drug Carboplatin: NMR Characterization of cis-[Pt(NH₃)₂(CBDCA-O)(5'-GMP-N7)] in Solution

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We have studied reactions of the anticancer drug $[Pt(NH_3)_2(CBDCA-0,0')]$ (carboplatin, "Paraplatin", where H_2 CBDCA is cyclobutane-1,1-dicarboxylic acid) with nitrate, phosphate, chloride, and 5'-guanosine monophosphate (5'-GMP) in aqueous solution at 310 K using ¹H, ¹⁵N, [¹H, ¹⁵N], and ³¹P NMR spectroscopy. In each case (except nitrate) a ring-opened species containing monodentate CBDCA was detected during the course of the reaction. A structure for cis-[Pt(NH₃)₂(CBDCA-O)(5'-GMP)] is proposed which accounts for the inequivalence of all six cyclobutane ring protons in this complex. There is a close hydrophobic contact between the cyclobutane ring of monodentate CBDCA and the purine ring of coordinated 5'-GMP. The reaction of carboplatin with 5'-GMP (k_{obs1} 4.1×10^{-6} s⁻¹) was faster than that with phosphate (k_{obs} 4.3×10^{-7} s⁻¹), phosphate and chloride (k_{obs} 1.2×10^{-6} s^{-1}), or water alone ($< 5 \times 10^{-9} s^{-1}$), suggesting that direct attack of nucleotides on carboplatin may be of importance in the mechanism of action for this drug.

Introduction

Carboplatin, [Pt(NH₃)₂(CBDCA-0,0')] (1)¹, is a widely used second-generation platinum anticancer drug.² It has less sideeffects than cisplatin; for example, it does not cause loss of high frequency hearing, or significant renal or neural toxicity. These differences are attributable to the lower reactivity of carboplatin due to the presence of the bidentate-chelated bis(carboxylato) ligand.³ Some workers have suggested that carboplatin is merely a pro-drug for cisplatin,⁴ whereas others have postulated that carboplatin is activated by other means, e.g. by enzymic degradation.⁵ Urinary excretion studies have shown that CBDCA²⁻ can be displaced from carboplatin in the body,⁶ and it has been suggested that aquation is a rate-limiting step in the reaction of carboplatin with DNA.7

There are only a few previous studies of ring-opening reactions of carboplatin. The relative inertness of carboplatin to hydrolysis and chloride substitution was demonstrated early on.8 and Goodgame and Riley detected intermediates by ¹H NMR spectroscopy during reactions with nucleotides.9 Subsequently Knox et al.⁷ reported a rate of hydrolysis of 7.2×10^{-7} s⁻¹ (in

- Abbreviations used: H₂CBDCA, cyclobutane-1,1-dicarboxylic-acid; cisplatin, cis-[Pt(NH₃)₂Cl₂]; TSP, Me₃Si(CD₂)₂CO₂Na; 5'-GMP, guanosine-5'monophosphate (the charge on this compound is ignored); pH^* , pH meter reading in D₂O; DQF, double quantum filtered; en, 1,2-diaminoethane; HMQC, heteronuclear multiple quantum coherence spectroscopy.
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phosphate buffer, 310 K), whereas Canovese et al.¹⁰ suggested a rate of $<10^{-8}$ s⁻¹. The latter workers detected a ring-opened species in acidic media by ¹H NMR spectroscopy. Recently Allsopp et al.¹¹ have studied reactions of carboplatin with a variety of nucleophiles and have concluded that reactions with chloride ions are too slow to account for the reported half-life of carboplatin in blood plasma. The only X-ray structural characterization of a monodentate CBDCA Pt(II) complex appears to be that of [Pt(CHDA)(Me₂SO)(CBDCA-0)], where CHDA is trans-(-)-1,2-cyclohexanediamine.¹²

In this work we have sought to detect and characterize ringopened carboplatin adducts in aqueous solution using a combination of 1H and 1H-detected 15N NMR spectroscopy, as well as to investigate the kinetics of ring-opening and CBDCA release. In particular, we show that observation of ¹H NMR resonances for the NH₃ ligands via the use of ¹⁵N-edited ¹H NMR and [1H,15N] HMQC NMR spectroscopy greatly aids the assignment of resonances for ring-opened CBDCA complexes.

Materials and Methods

 K_2 PtCl₄ and [Pt(NH₃)₂(CBDCA-0,0')] were purchased from Johnson Matthey plc. and H₂CBDCA, ¹⁵NH₄Cl, 5'-GMP, KNO₃, NaCl, NaH₂-PO₄·2H₂O, and Na₂HPO₄ were all purchased from Aldrich. [Pt(¹⁵NH₃)₂-(CBDCA-0,0')] was prepared according to standard methods.^{13,14}

NMR Spectroscopy. NMR spectra were recorded at 310 K, unless otherwise stated, on the following instruments: Bruker AM 500 (1H 500 MHz, 15N 50.7 MHz), JEOL GSX 270 (31P 109.3 MHz, 15N 27.3 MHz), JEOL GSX 500 (1H 500 MHz, 15N 50.7 MHz), and Varian VXR 600 (1H 600 MHz) using 5-mm NMR tubes. The chemical shift references were as follows: ¹H (internal), TSP;^{15 31}P (external), 85% H₃PO₄; and ¹⁵N (external), 1.5 M ¹⁵NH₄Cl in 1 M HCl containing 10% D_2O .

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Typical acquisition conditions for ¹H NMR 1D spectra were as follows: 45–60° pulses; 16–32 K data points; 2–3 s relaxation delay; collection of 64–256 transients; final digital resolution of 0.2 Hz/pt. Spectra were simulated using Varian software.¹⁶ A standard program was used to acquire phase-sensitive DQF COSY spectra.

 $^{31}P\{^{1}H\}$ NMR spectra were typically the result of 60–70° pulses, 32K data points, 5-s relaxation delay, and 300 transients with a digital resolution of 0.3 Hz/pt.

¹⁵N{¹H} INEPT and DEPT NMR spectra were obtained using standard programs. Acquisition conditions were as follows: 32K data points, 2-s relaxation delay, 5000 transients, and digital resolution of 0.3 Hz/pt. Delays of 1/(4J) (INEPT) and 1/(2J) (DEPT) were used with a ¹J(¹H,¹⁵N) value of 73 Hz.

The 500-MHz ¹H{¹⁵N} NMR spectra were recorded on a Bruker AM-500 spectrometer fitted with a BSV-7 transmitter, a BFX-5 X nucleus decoupler, and a 5-mm inverse probehead, as described previously.¹⁷ Sample spinning was not used. All samples were prepared in 95% H₂O/ 5% D₂O, and the water signal was preirradiated for 1.5 s by means of a DANTE sequence.¹⁸ One-dimensional ¹⁵N-edited ¹H spectra were recorded using a spin-echo difference sequence,¹⁹ optimized for ¹J(¹H,¹⁵N) = 73 Hz ($\tau = 1/(2J)$).

Two dimensional [1H,15N] HMQC spectra were recorded using the standard sequence,²⁰ modified to include a pair of purge pulses for improved suppression of signals from protons not bound to ¹⁵N, as proposed by Otting and Wüthrich.²¹ The sequence was optimized for ${}^{1}J({}^{1}H,{}^{15}N) =$ 73 Hz, and the lengths of the purge pulses were adjusted for each sample in the range 0-3 ms to maximize solvent suppression, with the two pulses of different duration to avoid refocusing effects. Typically $32-128 t_1$ increments were used over a total spectral width of 800-2400 Hz in the F_1 dimension. The spectral width in the F_2 dimension was 2000 Hz, and for each increment, 2 dummy scans and 8-128 scans were recorded. After zero filling, the final digital resolution was 6 and 1.9 Hz in the F_1 and F_2 dimensions respectively. All 2D spectra were acquired using the TPPI method²² to give absorption mode line-shapes with sign discrimination in F_1 . During the acquisition time of the 2D experiments, the ¹⁵N spins were decoupled by irradiating with the GARP-1 sequence²³ at a field strength of 1.7 kHz. 2D spectra were processed using sine-bell squared weightings in both dimensions.

Sample Preparation. NMR samples were made up in D₂O solutions, except for ${}^{15}N{}^{11}H$ INEPT and DEPT, ${}^{15}N$ -edited ${}^{11}H$ and $[{}^{11}H, {}^{15}N]$ HMQC spectra for which 95% H₂O/5% D₂O was used. A typical sample preparation was as follows. Complex 1 (4.5 mg, 12 µmol), NaCl (4.9 mg, 84 µmol), and 5'-GMP (11.5 mg, 25 µmol) were weighed directly into an NMR tube and 0.6 ml of 0.1 M D₂O phosphate buffer, pH* = 7.0, was added to give a final concentration of 20 mM 1, 140 mM Cl⁻, and 40 mM 5'-GMP. The sample was ultrasonicated for ca. 30 s, then shielded from light by Al foil, and incubated at 310 K in a water bath. Samples were acidified to pH* = 2.0 using solutions of HNO₃.

pH Measurements. These were made directly in the NMR tube, before and after recording spectra, using a Corning 240 meter equipped with an Aldrich micro combination electrode, calibrated with Aldrich buffer solutions at pH 4, 7, and 10.

Ring Current Calculations and Molecular Modeling. Ring-current induced shifts were calculated using the program provided by Perkins.²⁴ The ring current for guanine was based on that for the amino acid tryptophan with scaling factors for the 5- and 6-membered rings of 0.725 and 0.288, respectively. Molecular modelling, minimization (CVFF force-field), and molecular dynamics (800 K, 100 ps) were carried out using the program Insight.²⁵ The initial structure for *cis*-[Pt(NH₃)₂(CBDCA-O)(5'-GMP)] (6) was based on the known crystal structures of [Pt-(NH₃)₂(CBDCA-O.)]^{3.26} and [Pt(en)(5'-GMP)₂].²⁷ The PtN₃O

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Figure 1. Numbering schemes for the complexes $[Pt(NH_3)_2(CBDCA-O,O')]$, 1, cis- $[Pt(NH_3)_2(CBDCA-O)Cl]^-$, 5, and cis- $[Pt(NH_3)_2(CBDCA-O)(5'-GMP)]$, 6.

coordination plane of 6 was fixed as a square plane and was not included in the energy minimization.

Kinetics. Data were obtained from NMR spectra recorded at different time intervals from samples at 310 K. The solutions were maintained at 310 K while not in the probe. ¹H NMR peak heights or areas (5'-GMP reactions) were used for the determination of the relative concentrations. When appropriate, natural abundance ¹³C satellites of 1 were used for comparison of areas with resonances of products. The analysis of the data, using the appropriate equations,²⁸ was performed using the program KaleidaGraph.²⁹

Results

We have used NMR spectroscopy to investigate reactions of carboplatin with various combinations of nitrate, phosphate, chloride, and 5'-GMP in aqueous solutions at pH 7 and 2, 310 K. Both the intermediates and the products have been characterized, and where possible, the kinetics of the reactions have been analyzed.

Carboplatin (1) in Water and Nitrate. The ¹H NMR spectrum of a 20 mM solution of 1 in D₂O after incubation at 310 K for 2 weeks, at pH* 7, showed only an intense triplet at 2.875 ppm (α and β , Figure 1 and Table I) and a quintet at 1.894 ppm (γ). These resonances are assignable to the intact, unreacted drug.³ As no free CBDCA was detected after 2 weeks, assuming that a 0.5% reaction could be detected, it is possible to calculate that the maximum hydrolysis rate of 1 is <5 × 10⁻⁹ s⁻¹, Table II.

When 1 was incubated for 16 days in 1 M KNO₃, pH* 7, at 310 K, signals with the same chemical shifts as CBDCA²⁻,³ Table I, appeared with an intensity of 1% of those for the intact drug. The estimated rate constant for the release of CBDCA²⁻ is 5×10^{-9} s⁻¹, Table II.

Carboplatin (1) in Phosphate. When 1 was incubated for 12 h in 100 mM phosphate, pH* 7.0, at 310 K, a triplet at 2.340 ppm and a quintet at 1.824 ppm (${}^{3}J$ = 8.0 Hz) were seen in the 1 H NMR spectrum as well as resonances for intact 1, Table I. The chemical shifts of the new peaks are identical to those of CBDCA²⁻, and the free ligand concentration increases to a steady-state value of 33% of the total integrated intensity after 289 h.

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Table I. ¹H NMR Chemical Shifts (δ) and Coupling Constants (Hz) for H₂CBDCA and Pt¹¹CBDCA Complexes at pH* 2.0 and 7.0 and 310 K with the Labeling Scheme Shown in Figure 1

complex	Ηα	Hβ	Hγ	H8	H 1′
	pł	H* = 2.0			
H ₂ CBDCA	2.562		2.013 (8.0) ^a		
$[Pt(NH_{3})_{2}(CBDCA-0.0^{2})]$ (1)	2.879		1.898 (8.0)		
cis-IPt(NH ₃) ₂ (HCBDCA-0)Cl] (5)	2.447 ^b	2.438 ^b	2.033 (8.0)		
cis-[Pt(NH ₃) ₂ (HCBDCA-O)(HPO ₄)] ^c (3)	2.473 ^b	2.459 ^b	2.031 (8.0)		
	pł	i* = 7.0			
CBDCA ²⁻	2.3	40	1.824 (8.0)		
$[Pt(NH_3)_3(CBDCA-0.0^3)](1)$	2.8	75	1.894 (8.0)		
$cis-[Pt(NH_1)_2(CBDCA-O)Cl]^{-}(5)$	2.321		1.824 (8.0)		
$cis-[Pt(NH_3)_2(CBDCA-Q)(HPO_4)]^c$ (3)	d		d		
5'-GMP				8.180	5.932 (5.9)r
$cis-[Pt(NH_3)_3(CBDCA-Q)(5'-GMP)](6)$	$2.273(\alpha)$	2.257 (8)	$1.756(\gamma)$	8.543	5.958 (5.3)
	$2.132(\alpha')$	2.086(B')	1.606 (~/)		
cis-[Pt(NH ₃) ₂ (5'-GMP) ₂] (7)	22 (u)	2.000 (p)		8.704	5.886 (4.4)

^a ${}^{3}J(\text{H}\alpha/\beta,\text{H}\gamma)$ in Hz given in parentheses. ^b Assignment to α or β is arbitary. ^c Protonation state of bound phosphate not determined. ^d Overlapped with free CBDCA. ^e ${}^{3}J(\text{H}1',\text{H}2')$ in Hz. ${}^{f}2J$: $\alpha\alpha' = 11.7$, $\beta\beta' = -11.5$, $\gamma\gamma' = -11.2$. ${}^{3}J$: $\alpha\gamma = 9.4$, $\alpha\gamma' = 6.7$, $\alpha'\gamma = 6.3$, $\alpha'\gamma' = 9.4$, $\beta\gamma = 9.4$, $\beta\gamma' = 9.4$,

Table II. Observed First-Order Rate Constants for the Displacement of CBDCA from $[Pt(NH_3)_2(CBDCA-O,O')]$ (0.02 M) in Aqueous Solution by Different Nucleophiles at 310 K

		kubs/s 1		
nucleophile	concn/M	this work	others	
H ₂ O (neat)		<5 × 10 °	<10 * a	
NO	1.0	~5 × 10 °	5.8 × 10 7 h	
HPO ₄ ²	0.1	$(4.3 \pm 0.2) \times 10^{-7}$	7.2 × 10 ⁻⁷	
$C1 + HPO_{4}^{2}$	0.14 + 0.1	$(1.2 \pm 0.1) \times 10^{-6}$	-	
C1	0.14	7.7 × 10 ^{7 d}	2.1 × 10 7 h	
5'-GMP (0.1M HPO ₄ ²)	0.04	$(4.1 \pm 0.1) \times 10^{-6}$		
•		$(3.3 \pm 0.4) \times 10^{-5}$		
5'-GMP (0.14M C1 +	0.04	(4.4 ■ 0.1) × 10 ⁻⁶ °		
0.1 M HPO_{4^2}		$(3.2 \pm 0.5) \times 10^{-5}$		
DNA			1.3 × 10 5 cJ	

^a At 298 K.^{10 b} Extrapolated at 310 K from values of ref 11. ^c Reference 7. ^d Difference of rate constants of $k_{obs}(Cl^- + HPO_{4}^{2-}) - k_{obs}(HPO_{4}^{2-})$. ^c k_{obs1} ; see text. ^f k_{obs2} ; see text.

A 2D [¹H, ¹³N] HMQC NMR spectrum of the reaction mixture after 90 h (Figure D1, supplementary material) showed an intense cross-peak at 4.17 ppm (¹H)/-81.3 ppm (¹⁵N), Table III, with accompanying ¹⁹⁵Pt satellites, ²J(¹H, ¹⁹⁵Pt) = 62 Hz and ¹J(¹⁵N, ¹⁹⁵Pt) = 361 Hz, assignable to the NH₃ ligands of 1. As well, there was a less intense cross-peak at 4.07/-83.4 ppm, assignable to a diammine-Pt(II) phosphato complex such as *cis*-[Pt(NH₃)₂(HPO₄)_x] (x = 1 or 2), 2, and two other weaker cross-peaks of similar intensity at 3.99/-83.3 and 3.86/-79.2 ppm assignable to a ring-opened phosphato complex such as *cis*-[Pt(NH₃)₂(CBDCA-*O*)(HPO₄)]²⁻, 3, Table III. No ¹⁹⁵Pt satellites were observed for the minor species.

The ³¹P NMR spectrum of the reaction mixture after 100 h showed an intense signal for phosphate at 0.78 ppm and an additional resonance at 6.67 ppm, Table III, assignable to bound phosphate.³⁰ Integration of the latter peak relative to phosphate suggested that there was ca. 4 mM bound phosphate present (i.e. 20% of the Pt present has a bound phosphate).

After incubation of a similar sample for 48 h, the pH* was lowered to 2.0, and the ¹H NMR spectrum was recorded immediately (within minutes). The ¹H NMR chemical shifts for 1, 2.879 (t) and 1.898 (q) ppm, remained almost unchanged, whereas peaks for free CBDCA shifted to 2.562 (t), and 2.013 (q) ppm, consistent with protonation to give H₂CBDCA (pKa's 2.94 and 5.45; I = 0.1 M).³¹ In addition, further resonances were

Table III. ¹H and ¹⁵N Chemical Shifts (δ) of the Ammine Ligands in *cis*-Diammine-Pt(II) Complexes at pH 7.0 and 310 K

complex	δ('H)	δ(¹⁵ N)- (trans ligand)
$cis-[Pt(NH_3)_2Cl_2]$ (4)	4.06 ^a	-68.1 ^a (N)
$[Pt(NH_3)_2(CBDCA-0,0^{2})]$ (1)	4.17 ^b	-81.3 ^b (O)
$cis-[Pt(NH_3)_2(5'-GMP)_2]$ (7)	4.67	-66.6° (N)
$cis-[Pt(NH_3)_2(HPO_4)_x]^d$ (2)	4.07	-83.4 (O)
$cis-[Pt(NH_3)_2(CBDCA-O)Cl]^-$ (5)	3.93	-84.3 (O)
	4.12	-68.7 (Cl)
cis-[Pt(NH ₃) ₂ (CBDCA- O)(HPO ₄)] ^d (3)	3.99	-83.3 (O)
	3.86	-79.2 (O)
cis-[Pt(NH ₃) ₂ (CBDCA- O)(5'-GMP)] (6)	4.37	–79.9 (O)
	4.21	-68.1 (N)

^a ${}^{2}J({}^{1}H,{}^{195}Pt) = 64$ Hz: ${}^{1}J({}^{15}N,{}^{195}Pt) = 326$ Hz: ${}^{b}ZJ({}^{1}H,{}^{195}Pt) = 62$ Hz; ${}^{1}J({}^{15}N,{}^{195}Pt) = 361$ Hz. c pH = 7.9. d Protonation state and number of bound phosphate ligands not determined. ${}^{31}P$ peak at 6.67 ppm for bound phosphate.

now visible at 2.473 (t), 2.459 (t), and 2.031 (q) ppm. The combined area of these new peaks and that of free H₂CBDCA (relative to those for 1) was equal to the total area of the peaks previously assigned to free CBDCA²⁻ before the pH* was lowered, suggesting that at pH* 7.0 the peaks for free CBDCA²⁻ are overlapped with those of another species (a ring-opened complex, 3).

The kinetics of the reaction of carboplatin with 100 mM phosphate at pH* 7.0 was followed by measuring the intensities of the triplets for free and bound CBDCA. A pseudo-first-order rate constant, k_{obs} , was determined for the decrease in concentration of intact 1, taking into account the steady-state concentrations of the reactant and products, according to eq 1 (Nuc = phosphate). It was assumed that the concentration of *cis*-

$$[Pt(NH_3)_2(CBDCA-0,0^{\prime})] + Nuc \rightleftharpoons cis-[Pt(NH_3)_2(CBDCA-0)(Nuc)] \rightleftharpoons cis-[Pt(NH_3)_2(Nuc)_x] = CBDCA^{2-} (1)$$

 $[Pt(NH_3)_2(HPO_4)_x]$ 2, was equal to that of free CBDCA²⁻. The value of k_{obs} was determined to be $(4.3 \pm 0.2) \times 10^{-7} \text{ s}^{-1}$, Table II, and the concentration of 1 at equilibrium was 13.5 mM (67.5 \pm 3.5% unreacted, see Figure 2). The rate constant for the formation of the *cis*-[Pt(NH_3)_2(CBDCA-0)(HPO_4)], 3, could not be reliably determined from the NMR data available. The resonances for this complex were not resolved from those of CBDCA²⁻ at pH* 7.0 (unlike those of the chloride complex, vide infra).

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Figure 2. Plot of the concentrations (as a percentage of the total CBDCA) for $[Pt(NH_3)_2(CBDCA-O,O')]$, 1 (\bullet), and products (\blacksquare) vs time for reaction of 1 with 100 mM phosphate, pH* 7.0, at 310 K. Solid lines represent the best fit to the data; see text.



Figure 3. 500 MHz ¹H NMR spectrum of a solution containing 20 mM 1 and 140 mM Cl in D_2O after incubation at 310 K for 48 h at pH* 7 (bottom). The top spectrum is from the same solution after the pH* had been lowered to 2.0. At pH* 2 it is notable that peaks for 5 are well resolved from those of free ligand H₂CBDCA.

Reactions with Chloride. The ¹H NMR spectrum of 1 after incubation with 140 mM Cl⁻, pH* 7, 310 K, for 48 h consisted of resonances for 1, CBDCA²⁻, and an additional triplet at 2.321 ppm. When the pH* of this solution was lowered to 2.0, the latter triplet resolved into two overlapping triplets at 2.447 and 2.438 ppm (Figure 3), and now an additional quintet was just detectable at 2.033 ppm beneath the quintet for H₂CBDCA. The 2D [¹H,¹⁵N] HMQC spectrum of the reaction mixture after 90 h incubation at 310 K (initial pH = 7.0, final pH = 6.9) shows an intense cross-peak for 1, a weaker cross-peak at 4.06/-68.1 ppm (²J(¹H,¹⁹⁵Pt) = 64 Hz, ¹J(¹⁵N,¹⁹⁵Pt) = 326 Hz) assignable to *cis*-[Pt(NH₃)₂Cl₂], **4**, and two weaker resonances of approximately the same intensity at 3.93/-84.3 and 4.12/-68.7 ppm assignable to the ring-opened complex *cis*-[Pt(NH₃)₂(CBD-CA-*O*)Cl]⁻, **5**, Figure 4.

Reactions with Chloride Ions in the Presence of Phosphate. The time-course for the reaction of 1 with 140 mM Cl⁻ in 100



Figure 4. 500-MHz ¹H{¹⁵N} NMR spectrum and corresponding [¹H,¹⁵N] 2D NMR spectrum (50.67 MHz ¹⁵N) of a solution of 20 mM 1 and 140 mM Cl⁻ in 95% H₂O/5% D₂O after incubation for 90 h at 310 K, pH 6.9. Dashed lines relate ¹⁹⁵Pt satellites to the central peak. Peaks for the ring-opened intermediate 5 can be readily assigned.

mM phosphate buffer, pH* 7.0, 310 K, was followed by ¹H NMR spectroscopy for 2 weeks. The same resonances were seen as in the absence of phosphate. After 3 h, peaks for complex 5 were seen at 2.321 ppm (t) and 1.824 ppm (q), and, by 30 h, integration showed that complex 5 constituted 4% of the total CBDCA (bound plus free) present in solution, and this remained unchanged for the rest of the observation period (2 weeks). After 2 weeks, 64% of the original 1 remained. Peaks assignable to the free ligand CBDCA²⁻ were observed after 6 h and increased to a steady-state level of 32% of the total CBDCA present in solution.

The ${}^{31}P$ NMR spectrum of the reaction mixture after 100 h showed a resonance at 6.63 ppm assignable to bound phosphate, with an area (measured relative to free phosphate) equivalent to 10% of the total Pt concentration.

The rate constant for the decrease in concentration of 1 was determined (eq 1, Nuc = phosphate or Cl) to be $k_{obs} = (1.2 \pm 0.1) \times 10^{-6} \, s^{-1}$, and the concentration of 1 at equilibrium was 12.8 mM (i.e. 64.1 \pm 3.5% of 1 unreacted). It was not feasible to determine the rate constant for the formation of the intermediate 5.

Reactions with 5'-GMP (1:2) in Phosphate Buffer. The time course for the reaction of 1 with 2 mol equiv of 5'-GMP in 100 mM phosphate buffer, pH^* 7, 310 K, was studied.

A new H8 singlet at 8.543 ppm and H1' doublet at 5.958 ppm (Table I) appeared and grew in intensity, peaking at ca. 24 h before decreasing to below detection by 280 h, and was assigned to the intermediate cis-[Pt(NH₃)₂(CBDCA-O)(5'-GMP)], 6. As well, an H8 resonance at 8.704 ppm and accompanying H1' doublet at 5.886 ppm formed and increased in intensity as the reaction proceeded, with the concomitant decrease in free 5'-GMP (H8, 8.180 ppm; H1', 5.932 ppm). In parallel with this, a triplet and quintet for free CBDCA²⁻ appeared and increased in intensity with time, with a concomitant decrease in the intensity of the peaks for 1. In addition, highly complex multiplets to lower frequency of free CBDCA²⁻ resonances assignable to cis-



Figure 5. 600-MHz ¹H NMR spectrum of a solution of 1 (20 mM) and 5'-GMP (20 mM) in 100 mM D₂O phosphate buffer, pH* 7.0, after 24 h incubation at 310 K, showing the proton resonances for CBDCA in *cis*-[Pt(NH₃)₂(CBDCA-*O*)(5'-GMP-*N*7)], 6. The inserts are simulations using the chemical shifts and coupling constants given in Table I. Assignments: A, H α and H β of CBDCA²⁻; B, H γ of 1; C, H γ of CBDCA²⁻. The remaining resonances are due to the six inequivalent cyclobutane ring protons of 6. The peaks labeled with an asterisk (*) are ¹³C satellites from resonances A and B (one of each labelled).

 $[Pt(NH_3)_2(CBDCA-O)(5'-GMP)]$, 6, were detected, Figure 5. The concentration of the monodentate intermediate increased to a maximum of ca. 10% of the total platinum concentration at 24 h, but by 280 h it had decreased to a level below detection. The concentration of 1 at 280 h was 4% of the total Pt. The data fit shows that it eventually all disappears with the concomitant complete displacement of all the CBDCA²⁻ (vide infra). A phasesensitive DQF COSY 'H NMR spectrum showed that all six protons on the cyclobutane ring of 6 are now inequivalent, and that each is coupled to every other cyclobutane ring proton. A complete simulation of these resonances was carried out and allowed accurate determination of the chemical shifts and couplings, Figure 5 and Table I. The H α (Figure 1) resonance is at 2.273 ppm while its neighboring geminal proton, $H\alpha'$, is now shifted to 2.132 ppm, a difference of 0.141 ppm. Both H α and $H\alpha'$ are shielded relative to the uncomplexed CBDCA²⁻, by 0.067 and 0.208 ppm, respectively. The β and β' protons are shielded to a greater extent than the α and α' signals, 0.083 (H β) and 0.254 ppm (H β'), relative to free CBDCA²⁻, a shift difference between these two protons of 0.171 ppm. The shift difference between the H γ and H γ' resonances (0.150 ppm) is similar to that of $H\alpha/H\alpha'$ and $H\beta/H\beta'$, with shieldings relative to CBDCA²⁻ of 0.068 ppm (H γ) and 0.218 ppm (H γ'). Protons on one face of the cyclobutane ring (H α , H β and H γ) are less shielded than those on the opposite face $(H\alpha', H\beta' \text{ and } H\gamma')$. The ²J $(H\alpha, H\alpha')$, ${}^{2}J(H\beta,H\beta')$, and ${}^{2}J(H\gamma,H\gamma')$ coupling constants are all approximately the same, -11.2 to -11.7 Hz, Table I. The ${}^{3}J({}^{1}H,{}^{1}H)$ couplings fall into two groups. Those between protons on the same face of the cyclobutane ring (e.g. $H\alpha$, $H\gamma$), having values of 9.4 Hz, and those between protons on the opposite sides of the ring (e.g. $H\alpha$, $H\gamma'$), having values between 6.3 and 6.7 Hz. The spectrum was well resolved and also allowed determination of ${}^{4}J({}^{1}H,{}^{1}H)$ coupling constants. Again these showed the similar pattern with protons on the same face $(H\alpha, H\beta; H\alpha', H\beta')$ having larger coupling constants (1.8 and 1.7 Hz) than those on opposite faces (-0.8 Hz).

The time courses for the disappearance of free 5'-GMP and appearance of intermediate 6 and product 7 for reaction of 20 mM1 with 40 mM 5'-GMP in 100 mM phosphate, pH^* 7.0, were determined from integration of 5'-GMP H8 resonances, and are



Figure 6. Plot of the concentrations (as a percentage of the total 5'-GMP) for 5'-GMP (\Box), cis-[Pt(NH₃)₂(CBDCA-O)(5'-GMP)] 4 (O), and cis-[Pt(NH₃)₂(5'-GMP)₂] 5 (Δ), versus time for the reaction of 1 with 5'-GMP (1:2) in 100 mM phosphate, pH* 7.0, at 310 K. Solid lines represent the best fit to the data; see text.

shown in Figure 6. These data suggest that reaction of 1 with 5'-GMP occurs in two consecutive steps, and therefore two consecutive pseudo-first-order rate constants were fitted to the experimental data according to eq 2. The rate constants obtained were $k_{obs1} = (4.1 \pm 0.1) \times 10^{-6} \text{ s}^{-1}$ and $k_{obs2} = (3.3 \pm 0.4) \times 10^{-5} \text{ s}^{-1}$, Table II (see Figure 6).

$$1 + 5' - GMP \rightarrow 6 \qquad k_{obs1} \qquad (2a)$$

$$6 + 5' \text{-GMP} \rightarrow 7 + \text{CBDCA}^{2-} \quad k_{\text{obs2}} \qquad (2b)$$

Reactions with 5'-GMP (1:2) in Phosphate Buffer in the Presence of Chloride Ions. Reaction of 1 with 2 mol of 5'-GMP in 100 mM phosphate, pH* 7.0, containing 140 mM chloride gave rise to a triplet at 2.321 ppm for complex 5 and a singlet at 8.543 ppm with accompanying CBDCA multiplets between 1.5 and 2.3 ppm for 6. This shows that both ring-opened monodentate CBDCA intermediates (5 and 6) are present during



Figure 7. 500-MHz¹H¹⁵N}NMR spectrum and corresponding [¹H,¹⁵N] 2D NMR spectrum (50.67 MHz¹⁵N) of a solution of 20 mM 1, 40 mM 5'-GMP, 140 mM Cl⁻, and 100 mM phosphate buffer, pH 7.0, in 95% H₂O/5% D₂O after incubation for 24 h at 310 K. Dashed lines relate ¹⁹⁵Pt satellites to the central peak. The pairs of cross-peaks for 5 and 6 are readily assignable in the 2D spectrum.

the reaction. The maximum amounts of 5 and 6 were 3% and 12% of the total Pt, respectively. The 2D [1H,15N] HMQC spectrum confirms the presence of both of these complexes, along with unreacted 1 (Figure 7). The rate constants were determined to be $k_{obs1} = (4.4 \pm 0.1) \times 10^{-6} \text{ s}^{-1}$ and $k_{obs2} = (3.2 \pm 0.5) \times 10^{-5}$ **s**⁻¹.

Molecular Modeling and Ring Current Calculations. The observed ring-current shifts of the six CBDCA protons in solution suggests that in cis-[Pt(NH₃)₂(CBDCA-O)(5'-GMP)], 6, the cyclobutane ring is in close proximity to the purine ring of 5'-GMP. The majority of structures which arose from the molecular dynamics calculations showed this feature, and one such structure was further refined by energy minimization. The resulting structure has the cyclobutane ring inclined at an angle of ca. 10° relative to the plane of the purine ring of 5'-GMP and is centered over the coordinated N7 of the five-membered ring with $H\beta'$ making the closest approach. All six cyclobutane ring protons are within the shielding cone of the π electrons of 5'-GMP, resulting in shifts to lower frequency relative to free CBDCA²⁻. The distances between the cyclobutane protons in the ring-opened complex 6 and the plane of the purine ring range from 3 to 5.5 Å. The calculated (observed in parentheses) shieldings in ppm compared to CBDCA²⁻ are as follows: α , -0.10 (-0.067); α' , -0.12 (-0.208); β , -0.30 (-0.083); β' , -1.01 (-0.254); γ , -0.19 (-0.068); γ' , -0.32 (-0.218). For this model, the calculated ring current shifts for the NH₃ protons cis to 5'-GMP are ca. -0.2 ppm and are +0.05 ppm for the trans NH₃ protons. Since accurate descriptions of the ring currents of a Pt(II)-coordinated purine are not available, no attempt was made to refine this structure further, but the agreement is a reasonable one.

Discussion

In comparison to cisplatin, the second generation anticancer drug carboplatin has reduced, and different side-effects as well reactions with nucleotides can occur by direct attack on 1. The crystal structure³ of **1** shows C_{α} and C_{β} to be inequivalent with Pt…C distances of 3.138 and 4.556 Å, respectively, with possible dynamic puckering of the 4-membered cyclobutane ring.²⁶ The crystallographic mirror-plane imposes equivalence on the α, α' protons as well as β, β' and γ, γ' . ¹H NMR spectroscopy provides a convenient means of monitoring the reactions of carboplatin, 1. In solution, the α and β protons in 1, Table I, are magnetically equivalent due to rapid inversion of the chelate oxygens.3,10

that carboplatin is not simply a prodrug for cisplatin and that

No hydrolysis of 1 in D_2O was detectable by NMR even after 2 weeks at 310 K, consistent with previous findings.¹⁰ A trace of hydrolysis was detected in the presence of the very weak nucleophile NO_3^- (1 M), but the rate was essentially the same as that estimated for D_2O alone. Complex 1 reacts faster in the presence of the stronger nucleophile phosphate. At pH* 7.0, the only species seen by ¹H NMR spectroscopy were intact 1 and free CBDCA²⁻. However, when the pH* was lowered to 2.0, additional peaks assignable to the phosphato species 3 were clearly seen. ³¹P NMR spectra confirmed the presence of a product with bound phosphate. The chemical shift is consistent with monodentate phosphate,³⁰ perhaps as cis-[Pt(NH₃)₂(HPO₄)_x], 2. The importance of phosphate as an attacking nucleophile can be seen from its ability to react with cisplatin³² and its diaqua cation,³⁰ as demonstrated previously. Mauldin et al.³³ have shown by HPLC that phosphate can displace malonate from (d, l-trans-1,2-diaminocyclohexane)(malonato)platinum(II) at pH 7. The 2D [¹H,¹⁵N] HMQC NMR spectrum (Figure D1) showed the presence of two products with ¹⁵N shifts consistent with oxygen ligands trans to ammonia,^{34,35} one with two different oxygen donors, 3, and the other a symmetrical species such as 2.

Two-bond ¹H-¹⁹⁵Pt coupling was observable for the NH₃ ligands of 1 but the satellites are broadened by relaxation via chemical shift anisotropy.³⁴ The pattern of satellite peaks in 2D spectra (Figures 4, 7, and D1) show that ${}^{2}J({}^{1}H-{}^{195}Pt)$ and ${}^{1}J({}^{15}N-{}^{195}Pt)$ have opposite signs.

In the ¹H NMR spectrum of the ring-opened intermediate 3 at pH^{*} 2.0, the α and β protons are now inequivalent. The γ signal is, however, still observed as a quintet, ${}^{3}J({}^{1}H-{}^{1}H)$ 8 Hz, and no ${}^{4}J(\alpha-\beta)$ couplings are resolved, indicating that the inequivalence is small.

As there is a high concentration of Cl- in the blood (104 mM)³⁶ and 1 is administered by intravenous infusion, it is important to determine the kinetics of reaction of 1 with Cl-. For some cells the nuclear concentration of Cl- is also reported to be high, e.g. 150 mM in rat-liver cells.³⁷ Reaction of 1 with Cl⁻ at pH* 7.0 gave new ¹H NMR peaks (Figure 3) assignable to cis-[Pt- $(NH_3)_2(CBDCA-O)Cl]^-$, 5. In this species the CBDCA ligand is monodentate with the α and β protons magnetically equivalent, as seen for 3. Further reaction of 5 with Cl- produces cisplatin, 4. At high concentrations of Cl-, cisplatin separates

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Mauldin, S. K.; Plescia, M.; Richard, F. A.; Wyrick, S. D.; Voyksner, (33)

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out of solution¹⁰ due to its lower solubility compared with carboplatin. Cisplatin was readily detected in the 2D HMQC [¹H,¹⁵N] NMR spectrum, Figure 4, with shifts similar to those reported previously.^{35,38} Complex 5 gave two smaller but equally intense cross-peaks in the 2D spectrum, with ¹⁵N chemical shifts consistent with NH₃ ligands trans to O and Cl, respectively.³⁵ The ¹H NMR spectrum of 5 at pH* 2.0 is very similar to that of the phosphato complex 3 (vide supra), with the α and β protons now inequivalent but with only one quintet for the γ protons. For species 3 and 5, which have similar chemical shifts for their monodentate CBDCA ligands and which are present in relatively small amounts (<4% of total CBDCA), the high dispersion and sensitivity of high frequency spectrometers were invaluable for their detection.

Incubation of 1 with both chloride and phosphate produced the same intermediates (3 and 5) and products (2 and 4) as were seen when these anions were used separately. This is compatible with their similar rates of reaction (Table II). Again it is evident that the ring-opened intermediates are relatively stable species and they persist in solution over the long time course of the reaction. To investigate the possibility that these ring-opened species are reactive towards attack on DNA bases, we studied reactions of 1 with 5'-GMP. This was carried out in phosphate buffer (100 mM, pH* 7.0) in the absence and presence of Cl-ions (140 mM). As with cisplatin, the final product of the reaction was cis-[Pt(NH₃)₂(5'-GMP)₂], 7 but now additional ¹H NMR resonances were observed for the ring-opened CBDCA intermediate cis-[Pt(NH₃)₂(CBDCA-0)(5'-GMP)], 6. The chemical shift of the H8 proton of 6 (8.543 ppm) was between that of free 5'-GMP (8.180 ppm) and the bis complex 7 (8.704 ppm), and ³J(H1'-H2') was only slightly different from that of free 5'-GMP, suggesting only a small change in sugar pucker compared to 5'-**GMP.**³⁹

In contrast to the chloro and phosphato ring-opened intermediates (3 and 5), the analogous 5'-GMP species 6, now exhibits inequivalence for the six CBDCA ring protons, all of which are shifted to low frequency with respect to resonances of the free ligand CBDCA²⁻ at pH* 7. Although previous workers were able to detect H8 and H1' resonances for such an intermediate, they were unable to resolve resonances for the CBDCA ring protons. They isolated chromatographically an analogous inosinemalonato species.⁹ The only known crystal structure of a monodentate CBDCA complex is [Pt(CHDA)(Me₂SO)(CBDCA-O)] (where CHDA is *trans*-(-)-1,2-cyclohexanediamine¹²), and in its ¹H NMR spectrum, the CBDCA resonances are shifted to higher frequency with respect to free CBDCA²⁻, lending support to our assignments of ring current shift effects due to 5'-GMP.

As far as we are aware this is the first time that the total inequivalence of all six CBDCA protons has been observed. We therefore compared our observed ¹H-¹H couplings with those in more highly substituted cyclobutane derivatives. The ³J values for 6 fall into two groups: 9.4 Hz for cofacial protons (e.g. $\alpha - \gamma$) and ca. 6.5 Hz for antifacial protons (e.g. $\alpha' - \gamma'$). The values of cofacial and antifacial ³J(¹H-¹H) couplings reported for substituted organic cyclobutane derivatives are within the range 8-12 Hz.⁴⁰ It therefore seems likely that there is significant puckering of the cyclobutane ring in 6. The ²J($\alpha \alpha', \beta \beta', \gamma \gamma'$) and ⁴J($\alpha \beta$, $\alpha' \beta', \alpha' \beta, \alpha \beta'$) values show a pattern of cofacial and antifacial couplings similar to those reported for cyclobutane derivatives.⁴⁰

The low frequency shifts of the CBDCA ring protons of 6 are explicable by their proximity to the purine ring of N7-coordinated 5'-GMP in the cis position. A model which is consistent with the observed shifts was obtained from molecular dynamics and energy

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Figure 8. Space-filling model of an energy-minimized structure for cis-[Pt(NH₃)₂(CBDCA-0)(5'-GMP)], 6, showing close hydrophobic contacts between the cyclobutane ring of the monodentate CBDCA and the 5'-GMP purine ring. The colors for atoms are as follows: Pt, yellow; C, green; H, white; N, blue; O, red; P, purple (almost hidden). It can be seen that the three protons (α' , β' , γ') on the face of the CBDCA ring nearest the purine are more shielded than those on the opposite face (α , β , γ).

minimization procedures, Figure 8. From the ¹H NMR spectrum, protons on the face of the cyclobutane ring proximal to the purine ring are shielded by -0.19 to -0.24 ppm, and on the distal face by -0.05 to -0.07 ppm. The close hydrophobic contact between the CBDCA and the purine ring (average interplanar distance 4.1 Å) contributes to the stability of this intermediate and may be related to the long half-life of monofunctional carboplatin adducts on DNA (ca. 14.5 h).⁷ These hydrophobic forces may directly relate to the efficacy of this anticancer drug and may be a useful parameter in structure-activity relationships.

The ¹H NMR resonance for the ammonia ligand *cis* to 5'-GMP in 6 is notably deshielded relative to 1, as was also seen for *cis*-[Pt(NH₃)₂(GMP-*N*7)Cl] relative to cisplatin.⁴¹ Our calculations suggest that the ring current of the cis 5'-GMP would cause a shielding of the NH₃ protons. It therefore seems likely that the high frequency shift is caused by H-bonding between NH₃ and the 5' phosphate of GMP.¹⁷ Such H-bonding is thought to be important in stabilizing *cis*-Pt(NH₃)₂-DNA complexes.⁴²

When 1 was reacted with 5'-GMP in the presence of CI-, the intermediate 5 was present to the same extent as in the absence of 5'-GMP. This suggests that 5 is not an activated intermediate.

Kinetics. Adequate fits to the kinetic data were obtained by assuming pseudo first-order kinetics. Acid catalyzed displacement of CBDCA can be neglected at pH* 7 ($k_{obs} < 10^{-10} \text{ s}^{-1}$).¹⁰

Our measured hydrolysis rate for 1 is consistent with that previously reported, ¹⁰ and is little influenced by the presence of NO_3^- , Table II. In contrast, other workers¹¹ have reported a 100-fold higher hydrolysis rate in 1M KNO₃. The discrepancy between these values may arise from the method used in the latter study, which involved HPLC separation on a reverse-phase column.

Reaction with phosphate occurs ca. 100 times faster than hydrolysis, consistent with the HPLC study by Knox et al.⁷ from which they determined the rate to be 7.2×10^{-7} s⁻¹. As with

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cisplatin, there are apparently no unreactive buffer systems for carboplatin. $^{\rm 32}$

The rate of reaction of 1 with chloride was obtained by difference (see Table II) and was comparable with the value reported by Allsopp et al.¹¹ and similar to the value for phosphate. However, both chloride and phosphate react only slowly with 1.

The rate constants for reaction of 5'-GMP with 1 were best fitted as two consecutive first-order reactions, with no back reaction, Figure 6. It is notable that the rate for the first step (ring-opening, Table II) is 10 times faster than the rate for phosphate alone, indicating that buffering can be used here with caution to control the pH. This is important because CBDCA ring-opening and displacement are known to be acid catalyzed ($k_{obs} = 1.61 \times 10^{-4} [\text{H}^+]$.)¹⁰ The second step of the reaction, formation of the bis 5'-GMP adduct 7, is seven times faster than the first step, and the observed rate constant is comparable with that reported by Knox et al.⁷ ($1.3 \times 10^{-5} \text{ s}^{-1}$) for formation of intrastrand cross-links from monofunctional DNA intermediates.

The presence of Cl^- did not affect the rate constant for either step of the reaction of 1 with 5'-GMP. It is evident that neither chloride nor phosphate activate 1 toward reactions with 5'-GMP, and therefore the rate-limiting step appears to involve direct attack of 5'-GMP on 1 under the conditions studied here.

Biological Implications. The second generation anticancer drug carboplatin has reduced, and different side-effects as well as greater aqueous solubility than cisplatin. It has sometimes been considered as a pro-drug for cisplatin, reacting with chloride in the plasma. In patients treated with carboplatin, most of the Pt(65%; cf. 16-35% for cisplatin) is excreted in the urine within the first 24 h, with at least 32% as intact drug.43 The rate constants which we have determined in vitro for reactions of 1 with Cl- and HPO₄²⁻ show that these nucleophiles can transform only minor amounts of administered carboplatin. Even if the ring-opened chloro complex 5 can be formed, it appears to be less reactive toward 5'-GMP than intact 1. Therefore it seems likely that the key step involves direct attack of 1 on DNA or its activation with a stronger nucleophile (e.g. S amino acids). The half-life of reaction between cisplatin and 5'-GMP is ca. 2.5 h compared to 47 h for carboplatin. This suggests that ca. 20 times the dose would need to be administered to achieve the same extent of reaction, correlating well with clinical dosage regimes.

It is interesting to note that the reaction of the ring-opened 5'-GMP intermediate 6 with a second 5'-GMP is seven times

faster than with the first 5'-GMP. This suggests that formation of G-G cross-links on DNA will be facile. In contrast, for cisplatin the second step (formation of the bis 5'-GMP adduct, 7) is 1000 times slower than the first step.³²

Conclusions

Reactions between the anticancer drug carboplatin 1 and water, chloride, phosphate, and 5'-GMP can be followed in detail with high-frequency ¹H NMR spectroscopy in aqueous solution. The identification of ring-opened intermediates is greatly aided by the use of ¹⁵N-labeled carboplatin, together with ¹⁵N-edited ¹H NMR and 2D [1H,15N] HMQC NMR spectroscopy. cis-Diammino-Pt(II) species with monodentate CBDCA and phosphate, chloride, or 5'-GMP as ligands all appear to be relatively stable and could play a role in the pharmacology of carboplatin. A structure for cis-[Pt(NH₃)₂(CBDCA-0)(5'-GMP-N7)], based on a consideration of molecular models, is proposed which is consistent with the observed shifts of CBDCA ring protons induced by the purine ring of 5'-GMP. The cyclobutane ring forms a close hydrophobic contact with the purine ring of 5'-GMP. Such hydrophobic contacts may contribute to the stabilization of drug-DNA complexes.

The reactions of water, chloride, and phosphate with 1 are very slow (half-lives of >4.4 years, 250 h, and 450 h respectively), and reactions with 5'-GMP appear to involve direct attack on intact carboplatin, followed by a second faster step involving displacement of monodentate CBDCA.

Carboplatin does not appear to be simply a pro-drug for cisplatin, and other mechanisms of activation are probably involved.

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Supplementary Material Available: Figure D1 500-MHz ${}^{1}H{}^{15}N{}$ spectrum and corresponding ${}^{1}H{}^{15}N{}$ spectrum (50.67 MHz ${}^{15}N{}$) of 1 in phosphate buffer showing the unreacted starting material and species 2 and 3 (1 page). Ordering information is given on any current masthead page.

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